

FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

ATTORNEY DOCKET NO.

1454.1055

APPLICATION NO.

09/806,522

## LIST OF REFERENCES CITED BY APPLICANT

(Use several sheets if necessary)

FIRST NAMED INVENTOR

Oliver PFAFF

FILING DATE

March 30, 2001

GROUP ART UNIT

~~2151~~ 2143

## U.S. PATENT DOCUMENTS

| *EXAMINER<br>INITIAL | DOCUMENT<br>NO. | DATE | NAME | CLASS | SUB-<br>CLASS | FILING<br>DATE |
|----------------------|-----------------|------|------|-------|---------------|----------------|
| AA                   |                 |      |      |       |               |                |

## FOREIGN PATENT DOCUMENTS

| DOCUMENT<br>NO. | DATE | COUNTRY | CLASS | SUB-<br>CLASS | TRANSLATION<br>YES NO |
|-----------------|------|---------|-------|---------------|-----------------------|
| EP0840482A1     | 5/98 | Europe  |       |               |                       |

## OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

|    |  |
|----|--|
| AC | Patent Abstracts of Japan, Publication No. 10154994, published June 9, 1998, European Patent Office.   |
| AD | Patent Abstracts of Japan, Publication No. 10023072A, published January 23, 1998, Japanese Patent Office.  |
| AE | Andrew S. Tanenbaum, Computer-Netzwerke, Wolfram's Fachverlag, 2. Auflage, ISBN 3-925328-79-3, pp17-24, 1992. (No translation)   |
| AF | Robert M. Hinden, IP Next Generation Overview, Communications of the ACM, Vol. 39, No. 6, pp61-71, June 1996.  |
| AG | David A. Wagner et al., A "Bump in the Stack" Encryptor for MS-DOS Systems, in Proceedings of the Symposium on Network and Distributed System Security, San Diego, CA, pp155-160, February 1996. |
| AH | Kazuhiko Yamamoto et al., Deployment and Experiences of the WIDE 6bone, INET 98, July 30, 1998.  |
| AI | Derrell Piper, A GSS-API Authentication Mode for ISAKMP/Oakley, December 18, 1997, pp.1-8.   |
| AJ | Derrell Piper, The Internet IP Security Domain of Interpretation for ISAKMP, July 7, 1998, pp1-31.   |
| AK | D. Piper, The Internet IP Security Domain of Interpretation for ISAKMP, November 1998, pp1-21.   |
| AL | T. Hardjono, B. Cain et al., A Framework for Group Key Management for Multicast Security, July 98, pp.1-23.  |
| AM | R. Atkinson, Request for Comments 1825, Security Architecture for the Internet Protocol, Network Working Group, pp1-22, August 1995, pp.1-22.  |
| AN | R. Pereira et al., The ISAKMP Configuration Method, May 13, 1998, pp.1-12.   |

EXAMINER

DATE CONSIDERED

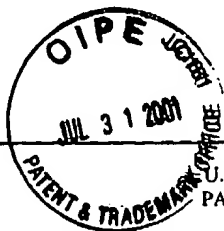
\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

RECEIVED

AUG 02 2001

BEST AVAILABLE COPY

Technology Center 2100



Sheet 2 of 2

|  |  |                                      |  |
|--|--|--------------------------------------|--|
| FORM PTO-1449  | U.S. DEPARTMENT OF COMMERCE<br>PATENT AND TRADEMARK OFFICE | ATTORNEY DOCKET NO.<br>1454.1055     | APPLICATION NO.<br>09/806,522          |
| LIST OF REFERENCES CITED BY APPLICANT<br><br>(Use several sheets if necessary) |  | FIRST NAMED INVENTOR<br>Oliver PFAFF |  |
|  |  | FILING DATE<br>March 30, 2001        | GROUP ART UNIT<br><del>2151</del> 2143 |

## U.S. PATENT DOCUMENTS

| *EXAMINER<br>INITIAL | DOCUMENT<br>NO. | DATE | NAME | CLASS | SUB-<br>CLASS | FILING<br>DATE |
|----------------------|-----------------|------|------|-------|---------------|----------------|
| BA                   |                 |      |      |       |               |                |

## FOREIGN PATENT DOCUMENTS

|    | DOCUMENT<br>NO. | DATE | COUNTRY | CLASS | SUB-<br>CLASS | TRANSLATION<br>YES NO |
|----|-----------------|------|---------|-------|---------------|-----------------------|
| BB |                 |      |         |       |               |                       |

## OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

|           |      |  |
|-----------|------|--|
| <i>fm</i> | BC ✓ | D. Harkins et al., Cisco Systems, The Internet Key Exchange (IKE), June 1998.                                  |
| <i>fm</i> | BD ✓ | D. Harkins et al., Cisco Systems, The Internet Key Exchange (IKE), November, 1998, pp1-3.                      |
| <i>fm</i> | BE ✓ | R. Pereira, Extended Authentication Within ISAKMP/Oakley, May 13, 1998, pp.1-10.                               |
| <i>fm</i> | BF ✓ | Rodney Thayer, PKI Requirements for IP Security, 13 September 1998, pp.1-23.                                   |
| <i>fm</i> | BG ✓ | R. Atkinson, Request for Comments 1826, IP Authentication Header, August 1995, pp.1-13.                        |
| <i>fm</i> | BH ✓ | R. Atkinson, Request for Comments 1827, IP Encapsulating Security Payload (ESP), pp.1-12.                      |
| <i>fm</i> | BI ✓ | P. Metzger et al., Request for Comments 1828, IP Authentication Using Keyed MD5, p.i and pp.1-5.               |
| <i>fm</i> | BJ ✓ | P. Karn et al., Request for Comments 1829, The ESP DES-CBC Transform, pp.1-10.                                 |
| <i>fm</i> | BK ✓ | Douglas Maughan et al., Internet Security Association and Key Management Protocol (ISAKMP), July 1998, pp.1-6. |
| <i>fm</i> | BL , | Douglas Maughan et al., Internet Security Association and Key Management Protocol (ISAKMP), November 1998.     |
| <i>fm</i> | BM   | Baiju V. Patel et al., Revised SA Negotiation Mode for ISAKMP/Oakley, November 1997.                           |
|           | BN   |  |

RECEIVED

AUG 02 2001

|  |                            |                        |
|--|----------------------------|------------------------|
| EXAMINER<br><i>Ross J. Maunz</i>   | DATE CONSIDERED<br>7/19/04 | Technology Center 2100 |
| *EXAMINER: Initial reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. |                            |                        |

BEST AVAILABLE COPY